## **RESEARCH ARTICLE**

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# Liquid-phase hydrogenation of bio-refined succinic acid to 1,4-butanediol using bimetallic catalysts



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#### **Abstract**

Development of a *Crotalaria juncea* based biorefinery produce large quantity of waste glycerol after trans-esterification of the *juncea* seeds. This glycerol, after purification, is used as a substrate for producing succinic acid on a microbial route. Hydrogenation of this bio-refined succinic acid is carried out under high pressure in order to produce 1,4-butanediol (BDO) using a batch slurry reactor with cobalt supported ruthenium bimetallic catalysts, synthesized inhouse. It is demonstrated that, using small amounts of ruthenium to cobalt increases the overall hydrogenation activity for the production of 1,4-butanediol. Hydrogenation reactions are carried out at various operating temperatures and pressures along with changes in the mixing ratios of ruthenium chloride and cobalt chloride hexahydrate, which are used to synthesize the catalyst. The Ru-Co bimetallic catalysts are characterized by XRD, FE-SEM and TGA. Concentrations of the hydrogenation product are analyzed using Gas chromatography-Mass spectrometry (GC-MS). Statistical analysis of the overall hydrogenation process is performed using a Box-Behnken Design (BBD).

Keywords: 1,4-Butanediol, Biorefinery, Succinic acid, Hydrogenation, Ru-Co bimetallic catalyst

#### **Background**

Succinic acid is reported many times as a potential platform chemical produced in bio-refineries [1, 2]. This dicarboxylic acid is an intermediate of the tricarboxylic acid (TCA) cycle and the same could replace the maleic anhydride produced from oil as a C4 building-block chemical. Conversion of succinic acid (SA) to high-value compounds has become a state-of-the-art research topic in the last few years resulting from its large-scale microbial productions utilizing waste glycerol as the primary substrate. Many research groups from all over the world have reported conversion of bio-refined succinic acid into various value-added chemicals. Production of succinic acid on a microbial route has been investigated with many strains in the last decade with final concentrations as high as 146 gl<sup>-1</sup> [3–6]. However, purification of succinic acid is very expensive [5, 7, 8]. The purification costs could be as high as 50-80% of the total process costs.

1,4-butanediol (BDO) is a well-known solvent in many industries, widely used in medical, chemical, textile, papermaking, automobile and in chemical industries producing goods of daily-use [12]. In organic chemistry, 1,4-butanediol is also used for the synthesis of gamma-butyrolactone, which has a great medicinal value in the pharmaceutical industry. In addition, it is also used as a key intermediate for producing polybutylene succinate (PBS) and polybutylene terephthalate (PBT). In presence of selective noble metal catalysts, it gets converted to the important solvent tetrahydrofuran by hydrogenation under high temperature.

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As an intermediate, succinic acid could be utilized to produce some derivatives following suitable catalytic pathways in order to make the bio-refinery a profitable unit. Researchers have shown that the bio-based succinic acid can be converted to 1,4-butanediol by catalytic hydrogenation process under high pressure [9, 10]. Succinic acid can also be transformed to other useful chemicals like gamma-butyrolactone (GBL) and tetrahydrofuran (THF) by the hydrogenation process using different metal containing catalysts [11].

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Hydrogenation of succinic acid to 1,4-butanediol occurs via a two-step process: (i) succinic acid is first transformed into gamma-butyrolactone by hydrogenation and then (ii) 1,4-butanediol or tetrahydrofuran is formed through successive hydrogenation of gamma-butyrolactone with selective metal catalysts [13–15]. Transition element supported noble metals are the most effective catalysts in hydrogenation of succinic acid. Based on their selectivity, Platinum (Pt), Palladium (Pd), Ruthenium (Ru) and Rhenium (Re) containing catalysts are found to be very efficient in hydrogenation of succinic acid to gammabutyrolactone and other chemicals [16]. For the production of 1,4-butanediol, however, a very strong catalyst activity for hydrogenation of carbonyl group is also required. Therefore, it is important to find a suitable noble metal catalyst that has both cyclization activity (SA → GBL) and oxidative-hydrogenation activity (GBL  $\rightarrow$  1,4-BDO) in the hydrogenation of succinic acid to 1,4-butanediol [17–19]. It is known that Rhenium (Re) can completely reduce both carboxyl and carbonyl groups at a time, leading to further hydrogenation of gamma-butyrolactone. On the other hand, rhenium catalyst can be a possible prospect for selective production of 1,4-butanediol in the hydrogenation of succinic acid [18]. However, due to a high price of Rhenium, other noble metals are examined as a promising catalyst for the hydrogenation process. Ruthenium (Ru) promoted Cobalt (Co) catalyst is found to be very effective in the hydrogenation of succinic acid [20–22].

This piece of research is only a part of a bio-refinery where Crotalaria juncea is used as the major feedstock. First oil is extracted from the Crotalaria juncea seeds [23], which then gets trans-esterified using traditional and natural catalysts to produce a bio-diesel along with a huge quantity of waste glycerol [24]. The waste glycerol is then purified using sequential desalination [25] and further utilized as the primary substrate for producing bio succinic acid using E.Coli during microbial fermentation [26]. In this particular work, a number of Ru-Co bimetallic catalysts are synthesized with varying contents of Ru. These catalysts are then physically characterized using XRD, FE-SEM and TG/DTA and applied to the liquid-phase hydrogenation of succinic acid, already bio-refined, in order to produce 1,4-butanediol in a batch slurry reactor [27]. The effect of metal content on the physicochemical properties of the catalysts is investigated. The yield of 1,4-butanediol is optimized using Response Surface Methodology, using Design Expert software version 9.0.3.1. (Make: StatEase Inc., USA).

#### Methods

#### Preparation of catalyst

Ruthenium-Cobalt bimetallic catalysts with varying compositions are prepared for hydrogenation of succinic acid. Cobalt chloride hexa-hydrate [ $\text{Cl}_2\text{CoH}_{12}\text{O}_6$ , ACS

reagent, 98%, Sigma Aldrich, USA], ruthenium chloride [RuCl<sub>3</sub>·xH<sub>2</sub>O, Aldrich, USA, Ru content 45–55%] and 1,4-dioxane (solvent) [Anhydrous, 99.8%, Sigma-Aldrich, Germany are used for synthesizing the catalyst. HPLC grade water [Merck, India] and ammonium carbonate [ACS reagent, Merck, India] are purchased from Merck, India. Initially, calculated amounts of cobalt chloride hexahydrate and ruthenium chloride are mixed together in the ratio of 1-3% of ruthenium to cobalt and then dissolved in 20 ml HPLC grade water to which 10% (w/w) of ammonium carbonate solution is added with constant stirring (500 rpm) until a pH of 8 is reached. The precipitated carbonates are then filtered with Whatman filter paper and washed several times with distilled water in order to obtain an alkali-free precipitate. After drying the metal carbonates at 110 °C in presence of air for 10 h, calcination is carried out at 700 °C with a ramp rate of 3 °C/min) in presence of air for 12 h in a muffle furnace in order to decompose the metal carbonates. The residues are then reduced in a high pressure autoclave (Make: Parr Instrument Co., USA; Model: Series 4560 Mini Reactors, 600 mL) under 45 bar hydrogen atmosphere at 250 °C for 12 h. The autoclave is fitted with a stirrer, cooling coil, gas inlet/ and liquid sampling system, automatic temperature controller, speed controller for agitation, safety rupture disc, high temperature cut-off and pressure recording facility. This is first purged with  $N_2$  (Linde, India; > 99.99%). A  $H_2$  gas cylinder (*Make*: Linde, India; Purity: > 99.99%) is used, along with a constant pressure regulator (Make: Concoa, Sweden), to supply H<sub>2</sub> at a flow rate of 80 mL/min. Initial temperature of the reduction process is set at 100 °C with a set of step increases of 50 °C/ 20 min until a final temperature of 250 °C is reached. Initial pressure is set at 20 bar and then increased to  $45\,\mathrm{bar}$  after  $1\,\mathrm{h}.$ The reduced catalysts are then stored in a glove-box in Ar (> 99.99%, Linde, India) to avoid oxidation.

#### Characterisation of catalyst

Physical characterization of the ruthenium promoted cobalt catalysts with varying composition is carried out using:

- a. X-Ray Diffraction (XRD): Composition and crystalline states of the ruthenium-cobalt bimetallic catalysts (Ru-Co) are examined by XRD (X-ray diffraction) measurements [28]. XRD patterns of the samples are obtained in the scanning angle ( $2\theta$ ) range of 1° 1185° on a Rigaku X-Ray Diffractometer (*Model*: Ultima III) instrument using *Cu-K* radiation ( $\lambda$  = 1541 Å) operated at 40 kV and 30 mA.
- Field Emission Scanning Electron Microscopy (FE-SEM) and EDX (Energy-Dispersive X-Ray) based analysis: A Field Emission Scanning Electron

Microscope [Make: JEOL; Model: JSM 7610F] [29–31] is used in order to identify the morphology of the catalysts. EDX analysis is carried out for identifying the elements present in the catalysts with their relative weight and atomic percentages.

c. Thermo-Gravimetry and Differential Thermal Analysis (TG/DTA): Thermal stability of these catalysts is performed using Thermo-Gravimetry (TG) and Differential Thermal Analysis (DTA) (TGA) [26, 28] with a TG/DTA [Make: PerkinElmer, Singapore; Model: Pyris Diamond] analyser. About 10 mg of the sample is loaded onto a Platinum crucible with alpha alumina powder being used as the reference. A steady N<sub>2</sub> flow rate of 150 ml/min is maintained with a specific temperature programme (ramp at 10 °C/min from room temperature (30 °C) to 100 °C, held for 20 min and then ramp at 15 °C/min) till a final temperature of 900 °C is reached.

#### Preparation of bio-refined succinic acid for hydrogenation

In this research work, initially, oil is extracted from Crotalaria juncea seeds using standard Soxhlet apparatus [23] and later trans-esterified to produce biodiesel [24]. Crude glycerol is purified after separation, employing various physico-chemical treatments. The purification process is designed on the basis of acidification, neutralization, solvent extraction, adsorption and finally pressure filtration through membrane [25]. This purified glycerol is used as the primary carbon source to produce succinic acid using single culture of Escherichia coli. A number of batch fermentation experiments are conducted at 37 °C and 120 rpm in mineral salts medium in a shaker incubator for 72 h with various glycerol concentrations to observe the cell growth and substrate utilization rate. Succinic acid is analysed using a High-Performance Liquid Chromatography (HPLC) system (Make: Waters, Model: Series 200) equipped with a C18 column. The analysis is performed using 1% acetonitrile and 20 mM K<sub>2</sub>HPO<sub>4</sub> as the mobile phase and peaks are monitored by UV detector (wavelength: 210 nm). The concentrations of succinic acid in the unknown solutions are estimated using standard curves prepared by plotting peak areas versus known concentrations of succinic acid samples. The entire process is optimized for a maximum production of succinic acid [26].

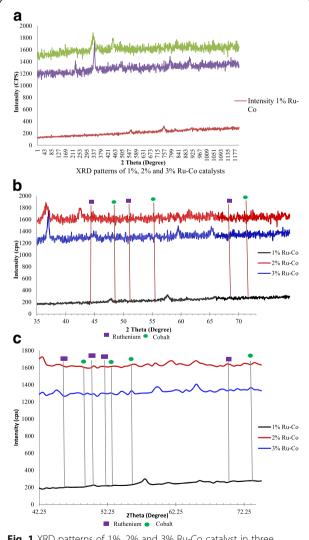
#### High pressure hydrogenation of succinic acid

Hydrogenation experiment is initiated with 21.96 g succinic acid seeded with a 6.25 g Ru–Co catalyst in a high pressure autoclave (**Make**: Parr Instrument Co., USA; Capacity: 6× 10<sup>-4</sup> m<sup>3</sup>; **Material**: Stainless Steel). The total reaction volume is made up to 100 ml using a mixture of 1,4-dioxane and water (solvent) in a ratio of 15:1. Before the reaction starts, the reactor is purged with

**Table 1** Experimental ranges of the independent variables for RSM study

Unit	Coded variable	Low	High
%Ru-Co	А	1	3
°C	В	180	250
Bar	C	45	75
	%Ru-Co °C	%Ru-Co A °C B	%Ru-Co A 1 °C B 180

nitrogen to remove air from the reactor thereby avoiding the risk of hazards. The reactions are carried out at a temperature of 250°C and a total pressure of 70 bar for investigating the activity of Ru–Co catalyst using bio-refined succinic acid as the substrate. Initial temperature and pressure are set at 100°C and 50 bar respectively. As time elapsed, the temperature is increased to 150°C, 200°C and finally 250°C, where the temperature ramp is



**Fig. 1** XRD patterns of 1%, 2% and 3% Ru-Co catalyst in three different 2 $\theta$  ranges: (**a**) 1.0<2 $\theta$ <1177.0; (**b**) 35.00<2 $\theta$ <75.0; (**c**) 42.25<2 $\theta$ <75.0 (average of 10 data points)

maintained at 50°C/ 20min time interval. With the increase in temperature, pressure also increases from 50 bar to 70 bar. The entire reaction is carried out for 6h under constant agitation at 450 rpm stirrer speed. The product is then recovered by filtration.

#### Analysis of 1,4-butanediol using GC/MS

A Gas Chromatograph (*Make*: Thermo Scientific, USA; *Model*: Trace GC Ultra) with a TR-Wax MS column (*Make*: Thermo Scientific, USA), 30 m long, 0.25 mm ID, with a film thickness of 0.25 μm, equipped with an EI (Electron Impact) detector (*Make*: Thermo Scientific; *Model*: Polaris Q) is used to estimate 1,4-butanediol, produced after hydrogenation of succinic acid. Helium gas, with a flow rate of 0.3 ml/min and a linear velocity of 10 ml s<sup>-1</sup>, is used as carrier gas. The split ratio is kept at 1:20. The sample is purified by filtration using a syringe filter (MILLEX; GV; 0.22 μM) and 1 μL of sample is injected for analysis. The initial temperature of the oven is set at 70 °C for a hold-up time of 2 min. In the first ramp, the oven is heated at a rate of 10 °C min <sup>-1</sup> to

reach a temperature of 260 °C with a holding time of 10 min. The ultimate oven temperature is set at 350 °C. 70 eV electron impact ionization (EI) mass spectra are collected from the runs and the results analysed using GC/MS Xcalibur software (*Make*: Thermo Scientific; Version:3.1). The relevant chromatograms for the standard and the 1,4 BDO samples are given in Additional file 1: Figure S1 and Additional file 2: Figure S2).

#### Statistical analysis

The yield of 1,4-butanediol is then statistically analyzed and optimized by Response Surface Methodology (RSM) using a Box-Behnken Design (BBD) [32, 33]. The optimization process is carried out by varying three factors viz. catalyst concentration, temperature and pressure. Based on the three-level factorial values generated by the Design Expert software, (*Make*: Stat-Ease Inc., USA; *Version*: 9.0.3.1), two extreme points (highest and lowest) are used for each factor (1.0 and 3.0 wt% for catalyst concentration, 180 °C and 250 °C for temperature and 45 bar and 70 bar for pressure).

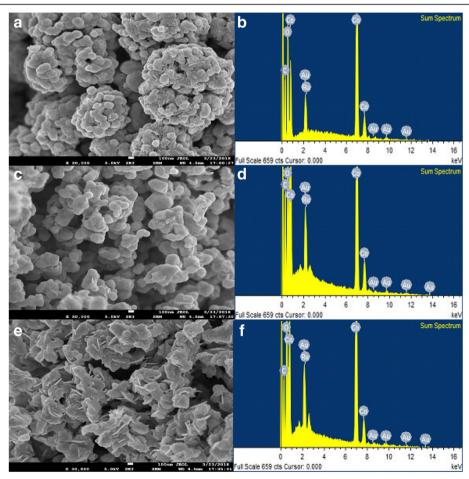


Fig. 2 FE-SEM images of (a) 1% Ru-Co, (c) 2% Ru-Co and (e) 3% Ru-Co bimetallic catalyst. Corresponding EDX spectra for (a), (c) and (e) are in (b), (d) and (f), respectively

Ranges of the variables are given in Table 1. The experimental runs are performed based on seventeen different combinations of the coded variables. The experimental data are then analysed and a second-order quadratic polynomial fit [see eq. (1)] is obtained. It describes the relationship between the predicted response variable (Yield of 1,4-butanediol) and the independent variables of the process (catalyst concentration, temperature and pressure).

$$Y = \beta_0 + \sum_{i=1}^{N} \beta_i \times X_i + \sum_{i=1}^{N} \beta_{ii} X_i^2 + \sum_{i=1}^{N} \sum_{j>1}^{N} \beta_{ij} \times X_i X_j$$
(1)

Where, Y is the response (Yield of 1,4-butanediol),  $X_i$ ,  $X_j$  are the coded variables,  $\beta_0$  is the intercept,  $\beta_i$  is the linear,  $\beta_{ii}$  is the quadratic and  $\beta_{ij}$  is the interaction coefficients. N is the number of factors considered in the experiment. The coefficients of determination ( $R^2$ ) and analysis of variance (ANOVA) justify the goodness of fit. Contour plots for the independent variables are developed from the experimental data obtained, following BBD procedures.

#### **Results**

### Catalyst characterization

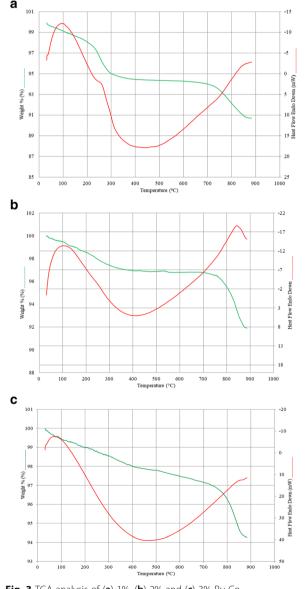
#### XRD

The X-Ray Diffraction (XRD) patterns of the reduced catalysts (both monometallic and bimetallic) are shown in Fig. 1 [(a), (b), (c)] in three different regimes of  $2\theta$ . The same show that Co and Ru exist predominantly in the metallic state. The monometallic Co displayed the characteristic peaks of cubic Co<sub>3</sub>O<sub>4</sub> (CoO.Co<sub>2</sub>O<sub>3</sub>), while the pattern of the monometallic Ru showed the characteristic peaks of Ru<sub>2</sub>O<sub>3</sub> [Fig. 1 (c); refer XRD\_Card\_of\_Ru\_and\_Co.pdf in the Additional file 3]. The presence of carbonates of Co<sup>+2</sup> and Ru<sup>+3</sup> are also observed. Following Scherrer equation<sup>11</sup> the particle size of cobalt crystallite is found to be in the range of 30-35 nm, whereas that of ruthenium is in the range of 22-25 nm. These values are determined from the  $2\theta$  values obtained from the XRD spectrum. No alloy formation is evident as  $2\theta$  values observed correspond to those of standard Co and Ru metal [Ru: 44.88°, 49.36°, 68.92° and Co: 48.80°, 55.84°, 74.36°].

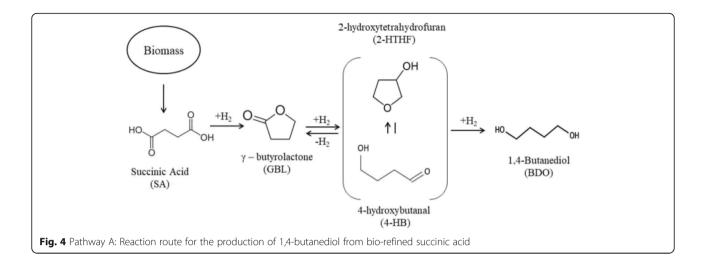
Table 2 EDX analysis of different Ru-Co bimetallic catalysts

Element	1% Ru-Co 2%		2% Ru-Co	Ru-Co		3% Ru Co	
	Weight%	Atomic%	Weight%	Atomic%	Weight%	Atomic%	
С	14.91	40.17	10.92	23.96	9.96	24.06	
0	10.69	21.63	31.96	52.62	26.38	47.82	
Co	67.46	37.04	50.21	22.44	53.73	26.44	
Ru	0.13	0.04	0.46	0.12	1.60	0.46	
Au	6.81	1.12	6.44	0.86	8.32	1.23	

After calcination The intensity of the  $\mathrm{Co_3O_4}$  diffractions decrease for the reduced Ru-Co samples in the order:  $\mathrm{Ru_{0.1}Co_{0.9}} < \mathrm{Ru_{0.3}Co_{0.7}} < \mathrm{Ru_{0.2}Co_{0.8}}$ . The shift of the  $\mathrm{Co_3O_4}$  peak to lower  $2\theta$  shows that  $\mathrm{Co_3O_4}$  lattice is expanded on addition of Ru, with lattice parameter having increased from  $8.0\,79\,\mathrm{\mathring{A}^2}$  to  $8.0\,83\,\mathrm{\mathring{A}}$ . Increase of the lattice parameter might be induced by substitution of  $\mathrm{Ru^{3/4+}}$  into the octahedral sites of  $\mathrm{Co_3O_4}$  spinel. Ru can adopt several different oxidation states, -3 in the precursor (RuCl<sub>3</sub>) or spinel type  $\mathrm{Co_2RuO_4}$  and +4 in  $\mathrm{RuO_2}$  after oxidation during calcination. From the magnitude of the increase in lattice parameter we can conclude that not the complete 2% or 3% Ru addition have been substituted into the spinel



**Fig. 3** TGA analysis of (**a**) 1%, (**b**) 2% and (**c**) 3% Ru-Co bimetallic catalysts



lattice. However, formation of  $RuO_2$ , a form of low crystallinity or even amorphous and not detectable by XRD, might be there.

After reduction No diffraction peaks corresponding to tetragonal rutile-type  ${\rm RuO_2}$  could be seen in the reduced bimetallic  ${\rm Ru_{0.2}Co_{0.8}}$  and  ${\rm Ru_{0.3}Co_{0.7}}$  samples [Fig. 1 (c)] [34]. After reduction at 250 °C, the diffractions of  ${\rm Co_3O_4}$  for bimetallic Ru-Co samples disappear [Fig. 1 (c)]. The intensity of these peaks decreases with increasing Co content and almost disappears in  ${\rm Ru_{0.1}Co_{0.9}}$ . The slight shift of  $2\theta$  value for Ru from 43.3° for  ${\rm Ru_{0.3}Co_{0.7}}$  to 43.4° in  ${\rm Ru_{0.1}Co_{0.9}}$  probably corresponded to a Ru phase that has some Co in the lattice [35, 36].

#### SEM/EDX

Surface morphology of these Ru-Co catalysts is visualized by Field Emission Scanning Electron Microscope (FE-SEM) [Make: JEOL, Model: JSM-7610F], which shows the magnified surfaces of 1, 2 and 3% Ruthenium-Cobalt catalysts (see Fig. 2). EDX analysis of the three samples of Ru-Co show the elements present in the catalysts with their weight and atomic percentages (see Table 2).

The presence of Au is associated with metallic coating of samples with gold for a clear surface morphology under FE-SEM [26]. The elements present in the catalysts are C (9.96–14.91 Wt.%), O (10.69–31.96 Wt.%), Co (50.21–67.46 Wt.%), Ru (0.13–1.60 Wt.%) and Au particles in the coating film (6.44–8.32 Wt.%).

#### TG/DTA

Thermal stability of the Ru-Co catalysts is studied by Thermo-Gravimetric Analysis (TGA) [*Make*: PerkinElmer, Singapore, *Model*: Pyris Diamond TG/DTA], under nitrogen atmosphere (flow rate = 150 ml/min). Platinum crucible is used with alpha alumina powder as the reference. TG/DTA results are shown in figures [Fig. 3 (a), (b), (c)] for the three different catalyst compositions.

The TG curves (green) show weight loss against temperature change for Ru-Co catalysts of various compositions. It is clearly shown that catalysts containing less Ru encounter more weight loss than the ones with more Ru. It is thus shown that catalysts containing larger percentage of Ru are more thermally stable during the entire time span of reaction. The average weight loss (%) is in the order:Ru<sub>0.1</sub>Co<sub>0.9</sub>::14.872 > Ru<sub>0.2</sub>Co<sub>0.8</sub>::9.894 > Ru<sub>0.3</sub>Co<sub>0.7</sub>::8.117. On the other hand, at 250 °C

4-hydroxybutanal (4-HB)

OH

$$\gamma$$
 - butyrolactone (GBL)

2-hydroxytetrahydrofuran (2-HTHF)

Fig. 5 Pathway B: Reaction route for the production of 1,4-butanediol and other byproducts

Table 3 Design matrix of Box-Behnken Design data

Run	Factor 1- A:Catalyst Concentration,%Ru-Co	Factor 2- B:Temperature, ℃	Factor 3- C:Pressure, Bar	Response 1- Yield of 1,4-BDO, %
1	1	250	60	0.41
2	1	180	60	0.26
3	2	180	75	3.44
4	3	250	60	5.01
5	2	215	60	3.64
6	2	250	75	3.67
7	2	250	45	3.91
8	2	215	60	4.69
9	2	180	45	3.66
10	2	215	60	4.09
11	2	215	60	4.03
12	3	215	45	4.38
13	2	215	60	4.01
14	3	215	75	5.03
15	1	215	75	0.63
16	3	180	60	4.63
17	1	215	45	0.39

(maximum temperature reached during high pressure hydrogenation) the weight loss (%) is in the order:  $Ru_{0.1}Co_{0.9}{::}15.091 > Ru_{0.2}Co_{0.8}{::}9.964 > Ru_{0.3}Co_{0.7}{::}8.186.$  This is probably because of an obvious carbon deposition over the catalysts (refer Table 2) during calcination. However the weight loss is not that prominent as reduction (following calcination) is strictly carried out under highly pure  $H_2$  atmosphere.

The DTA curves of the samples exhibited mostly endothermic peaks for the entire temperature regime. There is a prominent endothermic peak at approximately 270 °C for  $\rm Ru_{0.1}Co_{0.9}$  (could be assigned to the removal of adsorbed water) while the endothermic peak appears at around 460 °C in case of  $\rm Ru_{0.3}Co_{0.7}$ . For  $\rm Ru_{0.2}Co_{0.8}$  however, a small endothermic peak appears at a much higher temperature, at around 835 °C. Here,  $\rm H_2$  acted as a reductant to reduce the metal oxides to metallic Co and Ru. Ru might have reduced first due to a low reduction temperature. The reduced Ru could act as a promoter to improve the reduction of Co. When the temperature is > 300 °C weight losses of the catalysts are found to be much smaller.

#### Production of 1,4-butanediol

It has been our primary objective to develop an efficient bimetallic catalyst to catalyze high pressure hydrogenation of bio-refined succinic acid (refer Fig. 4  $Pathway\ A$ ) in order to produce BDO. In the present work, only up to 6.04% BDO yield is achieved over a bimetallic Ru<sub>0.3</sub>Co<sub>0.7</sub> catalyst while hydrogenating bio-based succinic acid under a high pressure of approximately 62 bar and 250 °C.

The correlations between the catalyst structure and catalytic performance of the Ru-Co catalysts with various Co contents are already discussed in the previous section. This is to have an idea on the probable active sites and reaction mechanism. After cyclization of succinic acid to GBL, ring-opening-hydrogenation to BDO takes up complex pathways. In *Pathway A* [37], a C4 hemi-acetal analogue of 2-HTHP, 2-hydroxytetrahydrofuran (2-HTHF), is likely to be formed by hydrogenation of GBL [38, 39].

Reduction capacity of Co is increased by a small amount of Ru (~10 mol%) in the bimetallic catalyst.

**Table 4** Various models tested for the response

	Sequential	Lack of Fit	Adjusted	Predicted	
Source	<i>p</i> -value	<i>p</i> -value	R-Squared	R-Squared	
Linear	< 0.0001	0.0470	0.7723	0.6920	
2FI	0.9954	0.0247	0.7059	0.3849	
Quadratic	0.0007	0.6334	0.9585	0.8876	Suggested
Cubic	0.6334		0.9507		Aliased

**Table 5** Analysis of variance (ANOVA) for the response surface quadratic model

Source	Sum of Squares	df	Mean Squares	F Value	p-value Prob > F	
Model	45.56	9	5.06	42.06	< 0.0001	significant
A-Catalyst Concentration	37.67	1	37.67	312.94	< 0.0001	
B-Temperature	0.13	1	0.13	1.06	0.3376	
C-Pressure	0.023	1	0.023	0.19	0.6745	
AB	0.013	1	0.013	0.11	0.7500	
AC	0.042	1	0.042	0.35	0.5732	
BC	1.000E-004	1	1.000E-004	8.307E-004	0.9778	
A^2	6.99	1	6.99	58.07	0.0001	
B^2	0.22	1	0.22	1.79	0.2232	
C^2	0.16	1	0.16	1.34	0.2844	
Residual	0.84	7	0.12			
Lack of Fit	0.27	3	0.090	0.63	0.6334	not significant
Pure Error	0.57	4	0.14			
Cor Total	46.41	16				

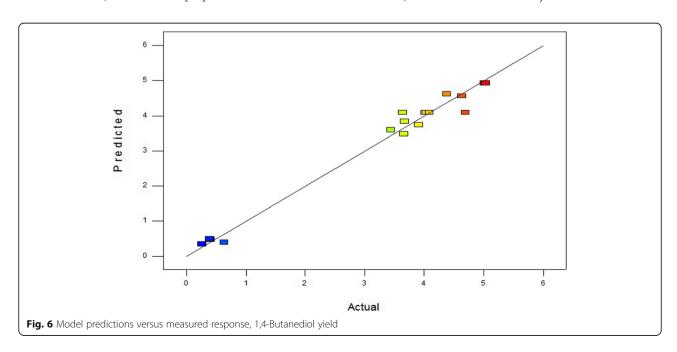
This results in higher activity and selectivity of the catalyst. Several other mechanisms can also explain this process of hydrogenation to produce BDO. Considering Fig. 5 *Pathway B* [37], GBL first gets hydrogenated to 2-HTHF, which then equilibrates with 4-HB (a ring-opened tautomer of 2-HTHF). A rapid hydrogenation to BDO over the metallic active sites follows thereafter. This reaction pathway is similar to the hydrogenation of 2-HTHP, the hemiacetal 2-hydroxytetrahydropyran, to 1,5 pentanediol [40] and an analogous C6 route from tetrahydropyran-2-methanol to 1,6-hexanediol [41].

#### **RSM-BBD** modeling

Using three numeric factors BBD is employed to determine the yield of 1,4-butanediol. Variation of numeric factors under different conditions are presented in Table 3.

The experimental data are fitted to various models like linear, 2FI, quadratic and cubic ones and compared in Table 4 and suitable statistical inferences are drawn.

After performing the ANOVA analysis (*see* Table 5), it is shown that the quadratic model is significant having a p-value lower than 0.0001, a determination coefficient  $R^2 = 0.982$ , a value of the adjusted determination



coefficient (adjusted  $R^2$ ) = 0.959 and a value of the coefficient of variation (CV) = 10.56%.

he Lack of Fit is significant with a value of 0.63 and the second order polynomial eq. (2) represents the mathematical relationship between the response and the independent variables in the Box–Behnken experimental design.

$$Yield = 4.09 + 2.17A + 0.13B + 0.054C + 0.057AB + 0.1AC - 5.000E - 003BC - 1.29A^{2} - 0.23B^{2} - 0.2C^{2}$$
(2)

Using the developed model equations, experimental values are plotted against predicted values of yield in Fig. 6, indicating that the models are successful in capturing the correlation between the reaction parameters with respect to the response.

#### Influence of the process parameters on 1,4-butanediol yield

The 3D graph (*see* Fig. 7) shows that the yield of 1,4-butanediol increases with the catalyst containing more ruthenium due to enhanced thermal stability of the same. The yield of butanediol is also maximized at the highest reaction temperature (*see* Fig. 7). In terms of pressure, it is clearly seen that the yield of butanediol increases if optimal stability pressure increases.

#### Optimization of process parameters

The high-pressure hydrogenation process is optimized to maximize 1,4-butanediol production yields utilizing bio-refined succinic acid using Ru-Co bimetallic catalyst. In order to satisfy the optimum conditions of the process, all the process parameters, along with the response, are defined and given in Table 6 with their respective high and low limits. Following this, a new set of experiment is carried out using these optimized values.

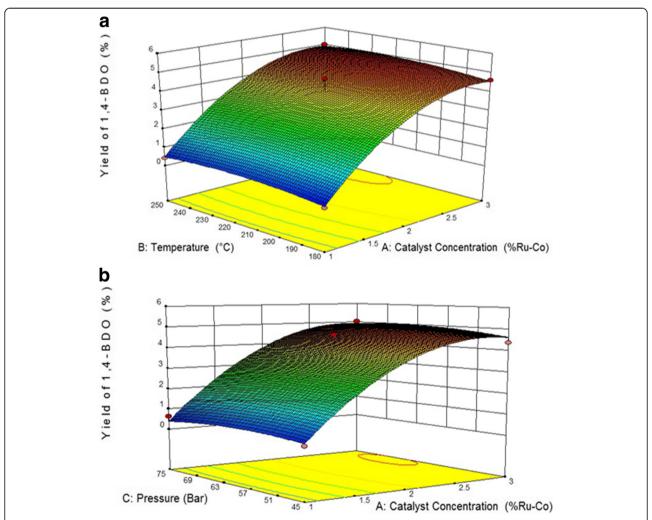


Fig. 7 3D Response surface plots showing the effect of various independent process variables on 1,4-Butanediol yield: (a) Effect of catalyst concentration and temperature on the yield of 1,4-Butanediol, (b) Effect of catalyst concentration and pressure on the yield of 1,4-Butanediol

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**Table 6** Constraints for the factors and responses in numerical optimization

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Parameter	Ultimate goal	Experimental region	
		Lower limit Upper l	
Catalyst Concentration	In range	1	3
Temperature	In range	180	250
Pressure	In range	45	75
Yield of 1,4-BDO	Maximize	0.26	5.03

The optimum conditions generated by the statistical analysis are: catalyst concentration = 2.86 wt% ( $\equiv Ru_{0.3}Co_{0.7}$ ), temperature = 235.65 °C and pressure = 60.93 bar with the optimum yield being 5.04%, implying that under this optimum condition all the parameters of this statistical analysis gives its highest response. High pressure hydrogenation is conducted at these optimum conditions and the yield has been found to be approximately 6%.

#### **Conclusions**

A series of Ru-Co catalysts are prepared by an incipient wetness impregnation method. The prepared catalysts are applied for hydrogenation of succinic acid, already bio-refined using microbial fermentation of waste glycerol, to produce 1,4-butanediol under high pressure. The yield of 1,4-butanediol increased with the percentage of ruthenium present along with cobalt in Ru-Co bimetallic catalysts. It is concluded that ruthenium-cobalt catalyst prepared by wet impregnation method can be a very effective catalyst towards the formation of 1,4-butanediol by high pressure hydrogenation of succinic acid. With bio-refined succinic acid as the starting material, the production of 1,4-butanediol under high pressure has been found to be cost-effective. In order to avoid the expensive process of purification of succinic acid from the fermentation broth, ultimately these derivatives could be produced directly in the fermentation broth if an optimally selective and active catalyst could be synthesized. It would also be a new challenge to overcome the inhibition scenarios that would arise while carrying out the catalytic production of the derivatives right within the fermentation broth. This could be so done since the hydrogenation reaction under high pressure could be carried out completely in gas phase under high pressure and all sorts of diffusional resistances (both film and pore) could be minimized.

#### **Endnotes**

<sup>1</sup>The *Scherrer equation*, in X-ray diffraction and crystallography, is a formula that relates the size of sub-micrometre particles, or crystallites, in a solid to the broadening of a peak in a diffraction pattern. It is named after Paul Scherrer. It is used in the determination of size of particles of crystals in the form of powder.

 $^2$ Under common ambient conditions, the thermodynamically favored form of the cobalt oxide often is the normal spinel structure  $\text{Co}_3\text{O}_4$  with a lattice constant  $a_0 = 8.079 \text{ Å}$ .

#### **Additional files**

```
Additional file 1: Figure S1. Chromatograph of 1,4-butanediol sample.
Additional file 2: Figure S2. Chromatograph of 1,4-butanediol sample.
(DOCX 128 kb)
Additional file 3: 4 XRD_Card_of_Ru_and_Co. (PDF 548 kb)
Additional file 4: 1 XRD_A. (XLSX 95 kb)
Additional file 5: 2 XRD_B. (XLSX 165 kb)
Additional file 6: 3 XRD_C- Average data. (XLSX 94 kb)
Additional file 7: 1__Ru-Co_EDS. (DOCX 150 kb)
Additional file 8: 2_Ru-Co_EDS. (DOCX 585 kb)
Additional file 9: 3_Ru-Co_EDS. (DOCX 277 kb)
Additional file 10: 1_Ru-Co_18.07.2016. (TXT 379 kb)
Additional file 11: 2_Ru-Co_18.07.2016. (TXT 377 kb)
Additional file 12: 3_RU-CO_07.09.2016. (TXT 376 kb)
Additional file 13: SAMPLE_BUTANE1_4DIOL_TOT.CHROM. (JPG 50 kb)
Additional file 14: SAMPLE_ZOOM_BUTANE1_4DIOL. (JPG 52 kb)
Additional file 15: STANDARD_BUTANE1_4DIOL_in_methanol_1mg-ml.
(JPG 55 kb)
Additional file 16: Standard_GC_CHROM_BDO_in_1_4-
Dioxane_0.5_mg-ml. (TIF 88 kb)
Additional file 17: Standard_GC_CHROM_BDO_in_1_4-Dioxane_1mg-
ml.bmp. (BMP 2304 kb)
Additional file 18: Standard_GC_CHROM_BDO_in_1_4-Dioxane_5_mg-
ml (TIF 88 kh)
Additional file 19: Standard_GC_CHROM_BDO_in_1_4-Dioxane_15mg-
ml (RMP 2304 kh)
Additional file 20: Standard_GC_CHROM_BDOin_1_4-Dioxane_10mg-
ml. (BMP 2304 kb)
```

#### Abbreviations

2-HTHF: 2-hydroxytetrahydrofuran; 4-HB: 4-hydroxybutanal; ANOVA: Analysis of variance; BBD: Box-Behnken Design; BDO: 1,4-butanediol; FE-SEM: Field Emission Scanning Electron Microscope; GBL: Gamma-butyrolactone or γ-butyrolactone; GC-MS: Gas chromatography-Mass spectrometry; PBS: Polybutylene succinate; PBT: Polybutylene terephthalate; RSM: Response Surface Methodology; SA: Succinic acid; TGA: Thermogravimetric analysis; THF: Tetrahydrofuran; XRD: X-Ray Diffraction

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#### Availability of data and materials

All the relevant data, based on which this study has been carried out and utilized to produce the figures and tables embedded within the manuscript, are given as spreadsheet, text files etc. attached as Additional files 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20.

#### Authors' contributions

Mr. PKB: He has prepared the catalysts and carried out specific physical as well as chemical characterization. Further, he has helped in data acquisition while carrying out the experiments on high pressure hydrogenation. Prof US: Prof S has initiated a biorefinery with *Crotalaria juncea* as the feedstock, for the first time in India. She has helped in the completeness of this piece of research in terms of design of experiments, supplementing new ideas throughout, analysis and interpretation of the data. Dr RV: Dr. V has first designed the protocol for production of platform chemicals (Succinic acid, 1,3 propanediol, 1,4 butanediol etc.) using waste glycerol generated in a biorefinery for our research group. Based on her ideas we produced the bio-refined succinic acid. Dr SS: Dr. S has prepared the biorefined succinic acid, which has served as the raw material for high pressure hydrogenation. She has also helped us with the statistical analysis using Response Surface Methodology (RSM). All authors read and approved the final manuscript.

#### Competing interests

The authors declare that they have no competing interests.

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